



- 1 -

Docket No. 0756-2011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
Shunpei YAMAZAKI et al. )  
Serial No. 09/362,192 ) Attention: Applications  
Filed: July 28, 1999 ) Branch  
For: SEMICONDUCTOR DEVICE )  
HAVING SEMICONDUCTOR )  
CIRCUIT COMPRISING )  
SEMICONDUCTOR ELEMENT) )  
AND METHOD FOR )  
MANUFACTURING THE )  
SAME )

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on October 20, 1999.

Rose M. Fichtel  
Rose M. Fichtel

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED

Honorable Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted, under 37 CFR 1.53(b) dated August 20, 1999, submitted

herewith is an executed Declaration and Power of Attorney, Assignment and Assignment Recordation Cover Sheet, and a copy of Notice to File Missing Parts of Application - Filing Date Granted along with the fees under 37 CFR 1.16. (Statutory Basic Filing Fee - \$760, Surcharge - \$130, Additional Claims - \$666 and Assignment Recordation Fee - \$40.00).

The above fees are covered by the attached check in the amount of \$1,596.00. Should there be any additional fees required for proper filing of the attached documents, please charge Deposit Account No. 19-2380 (0756-2011). Prompt issuance of a corrected filing receipt is respectfully requested.

Respectfully submitted,



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Eric J. Robinson  
Reg. No. 38,285

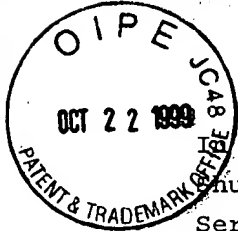
Sixbey, Friedman, Leedom & Ferguson, P.C.  
8180 Greensboro Drive, Suite 800  
McLean, Virginia 22102  
(703) 790-9110

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 10-20-99

Docket: 0756-2011

SECTOR #3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I re PATENT application of )  
Shunpei YAMAZAKI )  
Serial No. 09/362,192 ) Attention: Applications  
Filed: July 28, 1999 ) Branch  
For: SEMICONDUCTOR DEVICE HAVING )  
SEMICONDUCTOR CIRCUIT )  
COMPRISING SEMICONDUCTOR )  
ELEMENT AND METHOD FOR )  
MANUFACTURING THE SAME )

Honorable Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is a Response to Notice to File Missing Parts of Application in the above-identified application.

- [ X ] A check in the amount of \$1,596 (\$760 - filing fee; \$130 - surcharge; \$40 - assignment recording fee; \$666 - additional claims) is enclosed.
- [ X ] In the event applicant(s) has overlooked the need for any petition to effect the entry of the documents submitted herewith, it is respectfully requested that this be treated as such petition and that any necessary fees associated with this petition be charged to Deposit Account No. 19-2380.
- [ X ] In the event applicant(s) has overlooked the need for any petition and fee for extension of time, and such extension is required, applicant(s) requests that this be considered a petition therefor and that such fee be charged to Deposit Account No. 19-2380.
- [ X ] The Commissioner is hereby authorized to charge fees under 37 CFR 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c) and



1.20 (d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment, to Deposit Account No. 19-2380. A duplicate copy of this sheet is attached.

Respectfully submitted,

---

Eric J. Robinson

Reg. No. 38,285

Sixbey, Friedman, Leedom & Ferguson, P.C.  
8180 Greensboro Drive, Suite 800  
McLean, Virginia 22102  
(703) 790-9110



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/362,192	07/28/99	YAMAZAKI	S 0756-2011

022204 0242/0820  
SIXBEY FRIEDMAN LEEDOM AND FERGUSON  
SUITE 800  
8180 GREENSBORO DRIVE  
MCLEAN VA 22102

NOT ASSIGNED

2811

DATE MAILED:

08/20/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1,556.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 432.00 for 24 total claims over 20.

\$ 234.00 for 3 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

D Bell  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

10/25/1999 TLUD11 00000050 09362192

760.00 OP  
234.00 OP  
432.00 OP  
130.00 OP

01 FC:101  
02 FC:102  
03 FC:103

04 FC:105